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# 4/07/04/03

265/173

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

**KERSTEN ET AL.**

Serial No. 10/033,116

Filed: December 27, 2001

For: **LIGHTING SYSTEMS FOR  
MEDICAL ROOMS**



Group Art Unit: Not Yet Assigned

Examiner: Not Yet Assigned

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INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with 37 CFR §§ 1.97 and 1.98, the items identified in this Information Disclosure Statement ("IDS") are brought to the attention of the Office. The items are listed on the attached form PTO-1449 and copies are enclosed for the convenience of the Examiner.

The items identified in this IDS may or may not be "material" pursuant to 37 CFR § 1.56. The submission thereof by Applicant is not to be construed as an admission that any such patent, publication or other information referred to therein is material or considered to be material (37 CFR § 1.97(h)), or even qualifies as "prior art" under 35 USC § 102 with respect to this invention unless specifically designated by Applicant as such.

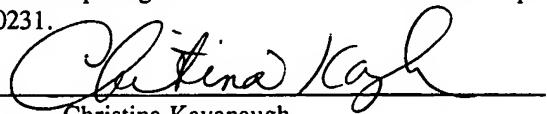
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**CERTIFICATE OF MAILING**  
(37 C.F.R. §1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

4/26/02

Date of Deposit

  
Christina Kavanaugh

**INFORMATION DISCLOSURE STATEMENT FILING PROVISION:**

This IDS is believed to be timely in that it is being submitted under 37 CFR § 1.97(b), that is (1) within three months of the filing date of the application, which is not a continued prosecution application filed under § 1.53(d); or (2) within three months of entry of the national stage as set forth in 37 CFR § 1.491; or (3) before the mailing of a first Office action on the merits; or (4) before the mailing of a first Office action after filing a request for continued examination under § 1.114. Thus, no fee is required.

However, if the undersigned is in error in this regard, Applicant respectfully requests that the Office consider this IDS as filed under 37 CFR § 1.97(c), if applicable, and charge the fee due under 37 CFR § 1.17(p) to the deposit account referenced below.

However, if the undersigned is in error in this regard, Applicant respectfully requests that the Office consider this IDS as filed under 37 CFR § 1.97(c), if applicable, and a statement under 37 CFR § 1.97(e) is included below, thus no fee is required.

**PAYMENT AND/OR AUTHORIZATION TO CHARGE FEES:**

A check in the amount of \_\_\_\_\_ is enclosed for the above fee(s).

Please charge \_\_\_\_\_ to Deposit Account No. **12-2475** for the above fee(s).

The Commissioner is authorized to charge any fees required by the filing of these papers, and to credit any overpayment to Lyon & Lyon's Deposit Account No. **12-2475**.

Dated: 4/26/02

Respectfully submitted,  
LYON & LYON LLP  
By: Brandon N. Sklar  
Brandon N. Sklar  
Reg. No. 31,667



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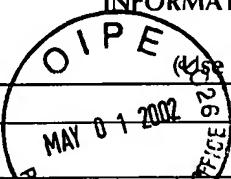
LYON & LYON LLP  
633 W. Fifth Street, Suite 4700  
Los Angeles, CA 90071

ATTY. DOCKET NO.  
265/173SERIAL NO.  
10/033,116LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S  
INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

APPLICANT:  
Kersten et al.FILING DATE:  
December 27, 2001

GROUP:



## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	TRADEMARK ARTS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
	AA	6,294,915 B1	09/24/01	Murphy et al.			
	AB	6,219,480 B1	04/17/01	Cassarly et al.			
	AC	5,353,786	10/11/94	Wilk			
	AD	4,966,450	10/30/90	Mori			
	AE	4,613,926	09/23/86	Heitman et al.			
	AF	4,605,990	08/12/86	Wilder et al.			
	AG	4,060,724	11/29/77	Heine et al.			
	AH	3,437,803	04/08/69	Ernst-Otto Seitz et al.			
	AI	3,360,640	12/26/67	Ernst-Otto Seitz et al.			
	AJ	2,480,178	08/30/49	I.H. Zinberg			

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## FOREIGN PATENT DOCUMENTS

EXAMINE R INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES NO
	AI						

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

AJ	"Fiberstars Announces New Energy Efficient Lighting System", Fiberstars, Inc., <a href="http://www.fiberstars.com">http://www.fiberstars.com</a> , pgs. 1-3, Apr. 11, 2001
AK	"Micro Illumination Products, Cogent Technologies", <a href="http://www.cogentlight.com/illprod2.html">http://www.cogentlight.com/illprod2.html</a> , pg. 1, 2001
AL	"Putting Surgery in a New Light", Cogent Technologies, <a href="http://www.cogentlight.com/illtech2c.html">http://www.cogentlight.com/illtech2c.html</a> , pgs. 1-2, 2001
AM	"Micro Illumination Products", Cogent Technologies, <a href="http://www.cogentlight.com/illprod2b.html">http://www.cogentlight.com/illprod2b.html</a> , pg. 1, 2001

EXAMINER:

DATE CONSIDERED:

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FORM PTO-1449

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10/033,116LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S  
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## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES NO
AN						

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

AO	Press Releases, "Cogent Light Fact Sheet", Cogent Technologies, <a href="http://www.cogentlight.com/facts.htm">http://www.cogentlight.com/facts.htm</a> , pgs. 1-2, 2001
AP	Press Releases, "Putting Surgery Via New Light", Cogent Technologies, <a href="http://www.cogentlight.com/illtech2d.html">http://www.cogentlight.com/illtech2d.html</a> , pg. 1, 2001
AQ	"How to Choose Surgical Lights", Outpatient Surgery Magazine, pgs. 1-4, May 2000
AR	"601 Illuminator - Specification and Submittal Sheet", Fiberstars, 44259 Nobel Drive, Fremont, CA 94538, pgs. 1-2, 1999
AS	"Fiberstars Lighting for the 21 <sup>st</sup> Century - Specification and Submittal Sheet 210, 219, 220", Fiberstars, 44259 Nobel Drive, Fremont, CA 94538, pgs. 1-2, 1997
ATt	"Fiberscape Fiber Twist Fixture - FS 210 Installation Instructions", Fiberstars, 2883 Bayview Drive, Fremont, CA 94538, pgs. 1-2, July 31, 1995.
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